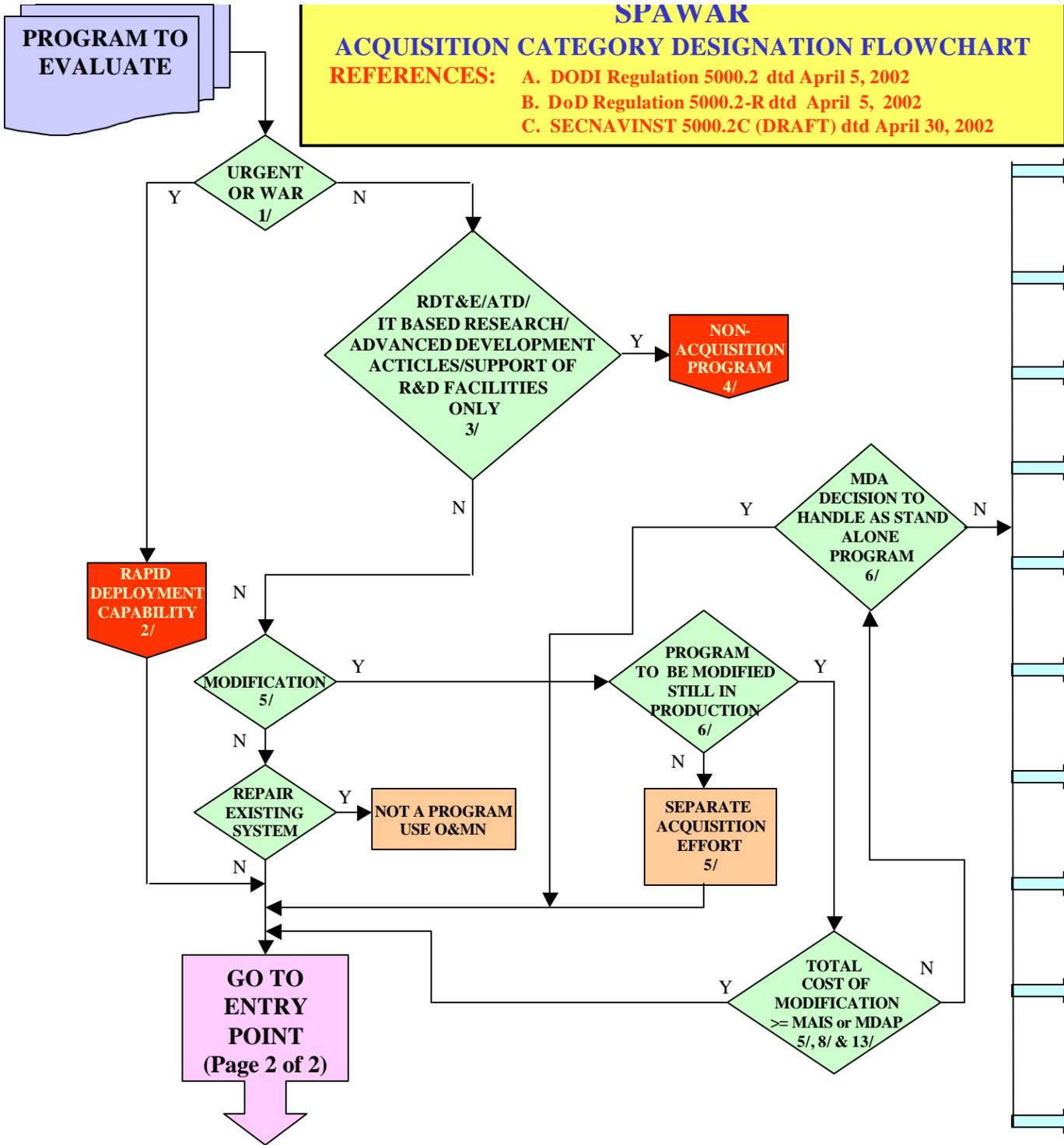


SPAWAR

ACQUISITION CATEGORY DESIGNATION FLOWCHART
REFERENCES: A. DODI Regulation 5000.2 dtd April 5, 2002
 B. DoD Regulation 5000.2-R dtd April 5, 2002
 C. SECNAVINST 5000.2C (DRAFT) dtd April 30, 2002



ACAT exists for program being modified?	APB exists for program being modified?	Mod plus program \$ breaches APB threshold?	Mod requires additional funding?	Mod breaches AAP program threshold? 4/5/	PM Action	CNO/CMC Action 6/	MDA Action
YES	YES	NO	NO	YES* or NO	Execute mod	Approve ORD* 2/	None
NO	NO	N/A	NO	NO	Execute mod	Approve requirement	None
NO	NO	N/A	YES	NO	Prepare funding request Execute mod	Approve requirement Provide funding	None
YES	YES	NO	YES	YES* or NO	Prepare Funding request Execute Mod	Approve ORD* 2/ or requirement Provide funding	None
YES	NO	N/A	NO	YES* or NO	Prepare APB 1/ Execute Mod	Approve ORD* 2/ or requirement Endorse APB 1/	Approve APB 1/
YES	NO	N/A	YES	NO	Prepare funding request Prepare APB 1/ Execute Mod	Approve requirement Provide funding Endorse APB 1/	Approve APB 1/
YES	YES	YES	NO	YES* or NO	Revise APB 1/ Revise TEMP 2/ Execute Mod	Approve ORD* 2/ or requirement Endorse APB 1/ Endorse TEMP 2/	Approve APB 1/ Approve TEMP 2/
YES	NO	N/A	YES	YES	Prepare funding request Prepare APB 1/ Revise TEMP 2/ Execute Mod	Approve ORD 2/ Provide funding Endorse APB 1/ Endorse TEMP 2/	Approve APB 1/ Approve TEMP 2/
NO	NO	N/A	YES	YES	Prepare funding request Prepare APB 1/ Prepare ACAT 3/ design request Execute mod	Approve ORD 2/ Provide funding Endorse APB 1/ Endorse TEMP 2/	Approve APB 1/ Approve TEMP 2/ Approve ACAT request 3/
YES	YES	YES	YES	YES* or NO	Prepare funding request Revise APB 1/ Revise TEMP 2/ Execute mod	Approve ORD* 2/ or requirement Provide funding Endorse APB 1/ Endorse TEMP 2/	Approve APB 1/ Approve TEMP 2/

MODIFICATION IS...

PART OF EXISTING ACAT PROGRAM

PART OF EXISTING ABBREVIATED ACQUISITION PROGRAM

PART OF EXISTING ACAT PROGRAM

PART OF NEW ACAT PROGRAM

PART OF EXISTING ACAT PROGRAM

Modification Process Table (SECNAVINST 5000.2C, Enclosure (2), para 1.4.4.4A)

NOTES for Modification Process Table

- 1/ "Prepare APB" is for the original ongoing program if a "current APB" does not exist, or for the "modification only" if the modification is to be managed as a separate program. "Revise APB" is for the original ongoing program.
- 2/ If a new, or change to an existing, ORD or TEMP is required, see formats for ORD in SECNAV 5000.2C, App (9), Annex A&B, Section 3, and TEMP in DoD 5000.2R, appendix 2 (AP2).
- 3/ "Prepare ACAT designation request" is for the "modification only", unless the original program is still ongoing (i.e., in production), in which case the ACAT designation request shall encompass both the original program and the modification(s). See the ACAT designation request and ACAT designation change request content memorandum in enclosure (10), appendix 9, annex A, Section 7 and Annex B, Section 6 of SECNAVINST 5000.2C.
- 4/ \$ threshold for "Abbreviated Acquisition Programs" is less than: for weapon system programs, \$5M RDT&E, \$15M procurement in any one fiscal year, and \$30M procurement total; for IT programs, \$15M single year program costs and \$30M total program costs.
- 5/ If answer to column 5 is YES*, an approved ORD or ORD revision is required.
- 6/ For IT programs, endorsement is provided by the IT functional area point of contact, approval is provided by the resource sponsor.

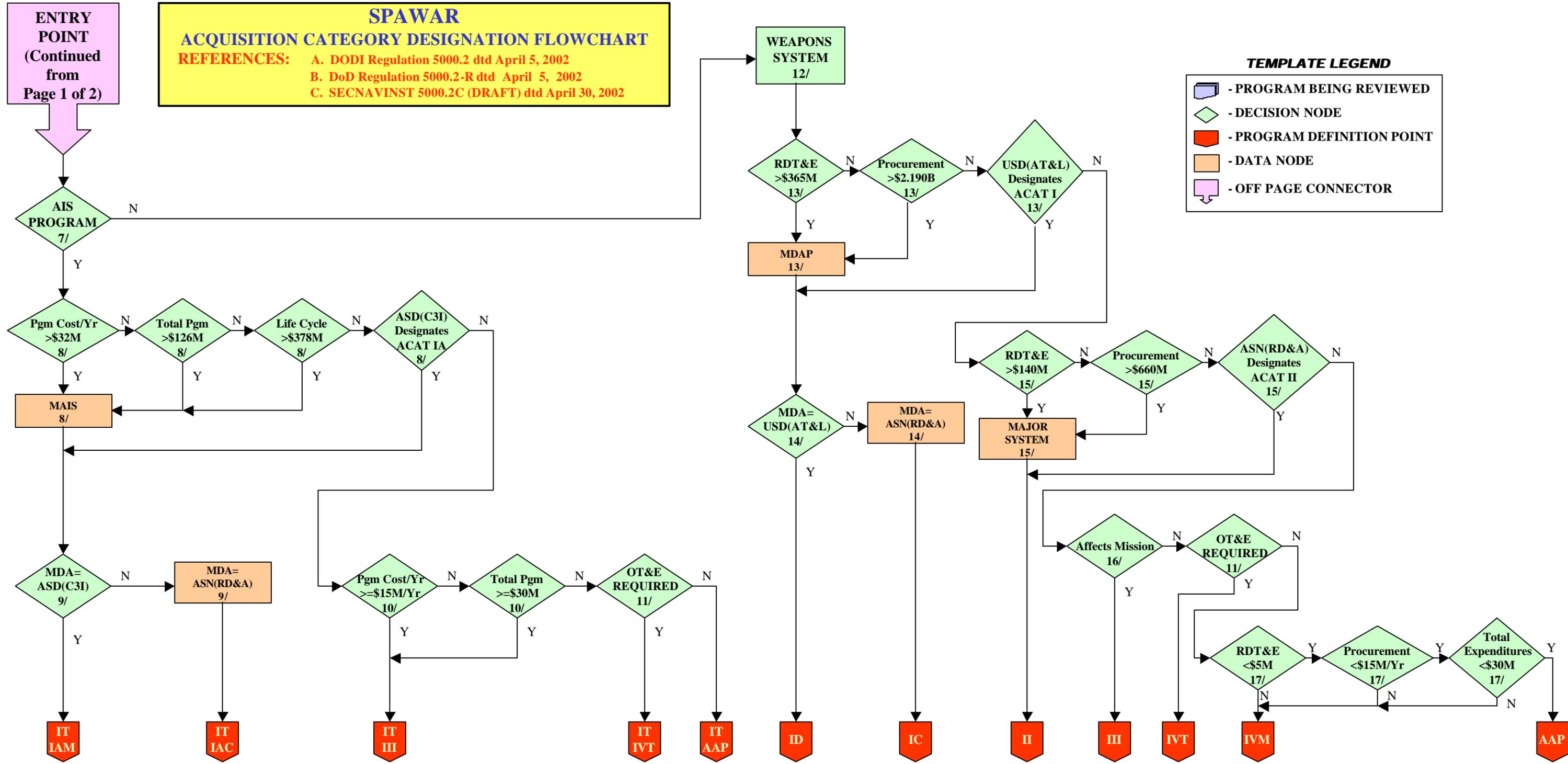
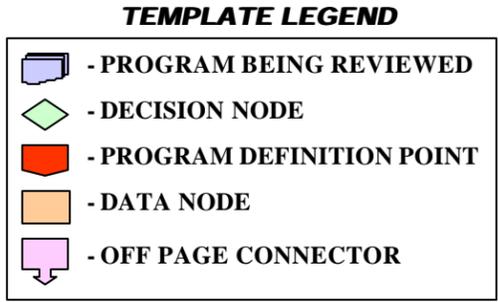
NOTES for Flow Chart (Continue to page 2 of 2 for additional notes)

- 1/ Urgent or War is defined in SECNAVINST 5000.2C, Enclosure (2), paragraph 1.9.1A.
 - 2/ Rapid Deployment Capability is defined in SECNAVINST 5000.2C, Enclosure (2), paragraph 1.9A.
 - 3/ Non-Acquisition Program and ATDs are defined in SECNAVINST 5000.2C, Enclosure (2), paragraph 1.8A.
 - 4/ Non-Acquisition Program procedures are defined in SECNAVINST 5000.2C, Enclosure (9), annex A, section 6.
 - 5/ Modification is defined in SECNAVINST 5000.2C, Enclosure (2), paragraph 1.4.4.4A.
 - 6/ Program in Production & MDA Decision for stand alone are defined in DoDI 5000.2, paragraph 4.7.3.2.3.3.4 and SECNAVINST 5000.2C, Enclosure (2), paragraph 1.4.4.4A.
- GENERAL NOTE: This FLOWCHART above contains the respective cost (in FY2000 constant dollars) and qualitative criteria for weapon system acquisition programs and information technology acquisition programs. This flowchart was created to evaluate acquisition program category designation by extracting the appropriate information from the DoD 5000.2R and SECNAVINST 5000.2C documents. Users of this flowchart are urged to refer to the governing references when using this chart.

ENTRY POINT
(Continued from Page 1 of 2)

SPAWAR
ACQUISITION CATEGORY DESIGNATION FLOWCHART

REFERENCES: A. DODI Regulation 5000.2 dtd April 5, 2002
B. DoD Regulation 5000.2-R dtd April 5, 2002
C. SECNAVINST 5000.2C (DRAFT) dtd April 30, 2002



NOTES for Flow Chart (continued from page 1 of 2)

7/ AIS includes IT as defined in SECNAVINST 5000.2C, Appendix (7), para 1.2, number 2.
 8/ MAIS criteria and cost are defined in DoDI 5000.2, Enclosure (2), paragraph E.2.1.8 and SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3.2A.
 9/ MDA criteria are defined in DoDI 5000.2, paragraph 4.8.3 and SECNAVINST 5000.2C, Enclosure (2), paragraphs 1.3.2.1A and 1.3.2.2A.
 10/ Cost criteria for ACAT III is defined in SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3.4A.
 11/ OT&E criteria is defined in SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3.5A.
 12/ Weapons System is defined in DoDI 5000.2, Enclosure (2), paragraph E2.1.21 and SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3A and Appendix 11.
 13/ MDAP criteria and cost are defined in DoDI 5000.2, Enclosure (2), paragraph E2.1.9 and SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3.1A.
 14/ MDA criteria are defined in DoDI 5000.2, paragraph 4.8.2 and SECNAVINST 5000.2C, Enclosure (2), paragraphs 1.3.1.1A and 1.3.1.2A.
 15/ Major System is defined in DoDI 5000.2, Enclosure (2), paragraph E2.1.10.1 and SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3.3A.
 16/ SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3.4A defines as, "A weapon system not otherwise designated ACAT I or II and which affects the military characteristics of ships or aircraft or involves combat capability will normally be designated an ACAT III program".
 17/ AAP criteria and cost are defined in SECNAVINST 5000.2C, Enclosure (2), paragraph 1.3.6A.
 GENERAL NOTE: This FLOWCHART above contains the respective cost (in FY2000 constant dollars) and qualitative criteria for weapon system acquisition programs and information technology acquisition programs. This flowchart was created to evaluate acquisition program category designation by extracting the appropriate information from the DoD 5000 series and SECNAVINST 5000.2C documents. Users of this flowchart are urged to refer to the governing references when using this chart.